ENCLOSURES (check all that apply)



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FORM

(To be used for all correspondence after initial filing)

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Application Number	10/043,086
Filing Date	January 8, 2002
First Named Inventor	David A. Johnson
Group Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket No.	210121.489C2

Fee Transmitta		Assignment Papers	CD(s), Number		
Fee Attach		(for an Application) ☐ Drawing(s)	of CD(s)		
☐ Amendment/Re☐ After Final	esponse	Request for Corrected Filing	After Allowance Communication to Group		
	declaration(s)	Receipt	Appeal Communication to		
Extension of Ti	, ,	Licensing-related Papers	Board of Appeals and		
Express Aband	•	Petition	Interferences		
Request		Petition to Convert to a	Appeal Communication to		
Information Dis		Provisional Application	Group (Appeal Notice, Brief, Reply Brief)		
Statement; For		Power of Attorney, Revocation, Change of	Proprietary Information		
Cited Reference Certified Copy		Correspondence Address	Status Letter		
Document(s)	or Filotily	Declaration	Return Receipt Postcard		
Response to M	lissing Parts	Statement under 37 CFR	Additional Enclosure(s)		
under 37 C.F.R		3.73(b)	(please identify below):		
Response to M	-	Terminal Disclaimer Small Entity Statement			
Parts/Incomple	te Application	Request for Refund			
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Remarks	SIGNATUR	RE OF APPLICANT, ATTORNEY	OR AGENT		
Remarks Individual Name	SIGNATUR Karl R. Herma	RE OF APPLICANT, ATTORNEY, nns, 33,507	OR AGENT		
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Individual Name Signature Date I hereby certify tha	Karl R. Herma	CERTIFICATE OF MAILING	00500 PATENT TRADEMARK OFFICE		
Individual Name Signature Date I hereby certify tha with sufficient post	t this correspo	nns, 33,507 3/19/02 CERTIFICATE OF MAILING	00500 PATENT TRADEMARK OFFICE		
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

David A. Johnson and C. Gregory Sowell

Application No.

10/043,086

Filed

: January 8, 2002

For

AMINOALKYL GLUCOSAMINIDE PHOSPHATE

COMPOUNDS AND THEIR USE AS ADJUVANTS

AND IMMUNOEFFECTORS

Docket No.

: 210121.489C2

Date

March 14, 2002

Commissioner for Patents Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the 13 references set forth on the attached Form PTO-1449. This application is a continuation-in-part and relies, under 35 U.S.C. § 120, on the earlier filing date of prior Application No. 09/905,160, filed July 12, 2001; which is a continuation of prior Application No. 09/439,839, filed November 12, 1999; which is a continuation-in-part of prior Application No 08/853,826, filed May 8, 1997, now issued as US Patent No. 6,303,347. The references listed on the attached Form PTO-1449 were submitted to and/or cited by the Patent and Trademark Office in this prior application and, therefore, are not required to be provided in this application. If the Examiner wishes, copies will be provided upon request. As to any reference supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,

Seed Intellectual Property Law Group PLLC

Karl R. Hermanns

Registration No. 33,507

KRH:sfl

Enclosures:

Postcard Form PTO/SB/21 Form PTO-1449

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